



Edgar Filing: UNIVERSAL CORP /VA/ - Form 5

7. Individual or Joint/Group Filing  
(Check applicable line)

- Form filed by one Reporting Person  
 Form filed by more than one Reporting Person

Table I -- Non-Derivative Securities Acquired, Disposed of,  
or Beneficially Owned

| 1.<br>Title of Security<br>(Instr. 3) | 2.<br>Transaction<br>Date<br>(mm/dd/yy) | 3.<br>Transaction<br>Code<br>(Instr. 8) | 4.<br>Securities Acquired (A) or<br>Disposed of (D)<br>(Instr. 3, 4 and 5) |                           |
|---------------------------------------|---|---|--|---------------------------|
|                                       |   |   | Amount   | (A)<br>or<br>(D)<br>Price |
| Common Stock                          | 6/30/02                                 | I                                       | 830  | A                         |
| Common Stock                          | 6/30/02                                 | I                                       | 1,106  | A                         |
|                                       |   |   |  | total                     |

\* If the form is filed by more than one Reporting Person, see Instruction 4(b)(v).

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

(Print or Type Responses)

(Over)

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FORM 5 (continued)

Table II -- Derivative Securities Acquired, Disposed of, or Beneficially Owned  
(e.g., puts, calls, warrants, options, convertible securities)

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| 1.<br>Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conver-<br>sion<br>or<br>Exer-<br>cise<br>Price<br>of<br>Deriv-<br>ative<br>Secur-<br>ity | 3.<br>Trans-<br>action<br>Date<br>(Month/<br>Day/<br>Year) | 4.<br>Trans-<br>action<br>Code<br>(Instr.<br>8) | 5.<br>Number of<br>Derivative<br>Securities<br>Acquired (A)<br>or Disposed<br>of (D)<br>(Instr. 3,<br>4 and 5)<br>-----<br>(A) (D) | 6.<br>Date<br>Exercisable and<br>Expiration Date<br>(Month/Day/Year)<br>-----<br>Date Expira-<br>tion<br>Date | 7.<br>Title and Amount<br>of Underlying<br>Securities<br>(Instr. 3 and 4)<br>-----<br>Amount<br>or<br>Number<br>of<br>Shares<br><br>Title |
|--|---|--|---|--|---|---|
| Options to buy<br>Common Stock                         | \$38.94   |  |   |  | 6/15/98 11/20/07  | Common Stock(4)   |
| Options to buy<br>Common Stock                         | \$40.1875   |  |   |  | 6/8/98 12/1/04  | Common Stock(4)   |
| Options to buy<br>Common Stock                         | \$38.20   |  |   |  | 12/15/01 12/2/09  | Common Stock(4)   |
| Options to buy<br>Common Stock                         | \$36.92   |  |   |  | 6/17/02 12/1/04   | Common Stock(4)   |
| Options to buy<br>Common Stock                         | \$36.92   |  |   |  | 6/17/02 12/2/09   | Common Stock(4)   |
| Options to buy<br>Common stock                         | \$38.70   |  |   |  | 12/17/02 12/1/04  | Common Stock(4)   |
| Options to buy<br>Common Stock                         | \$38.70   |  |   |  | 12/17/02 12/2/09  | Common Stock(4)   |
| phantom units 1 for 1                                  |   | (5)  |   | 13.156   | (5) (5)   | Common stock(5) 13.   |

Explanation of Responses:

- (1) includes 830 shares that were previously owned in the stock purchase plan
- (2) includes 1,106 shares acquired from 7/1/01 through 6/30/02 in the stock

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purchase plan

(3)number of shares held in the stock purchase plan

(4)options issued under the Executive stock plan

(5)phantom stock units were acquired under the ULT supplemental stock plan on a periodic basis during the fiscal year ended June 30, 2002. Each phantom unit will be settled in cash upon the earlier of death, disability, retirement or termination of employment. The range of high low market prices for the company's common stock on the dates the phantom units were credited is \$42.96 and \$31.78 respectively.

signature on file, filed electronically

August 12, 2002

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\*\*Signature of Reporting Person

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Date

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations.

See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this form, one of which must be manually signed.  
If space provided is insufficient, see Instruction 6 for procedure.

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