

Edgar Filing: KOLY M S /FA/ - Form 4

KOLY M S /FA/  
Form 4  
November 09, 2001

U.S. SECURITIES AND EXCHANGE COMMISSION  
Washington, DC 20549

FORM 4

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or  
Section 30(f) of the Investment Company Act of 1940

Check box if no longer subject to Section 16. Form 4 or Form 5 obligations  
may continue. See Instruction 1(b).

---

1. Name and Address of Reporting Person\*

Koly

M.S.

-----  
(Last)

(First)

(Middle)

1100 Summer Street, 3rd Floor

-----  
(Street)

Stamford

CT

06905

-----  
(City)

(State)

(Zip)

---

2. Issuer Name and Ticker or Trading Symbol

Delcath Systems, Inc. DCTH

---

3. IRS Identification Number of Reporting Person, if an Entity (Voluntary)

---

4. Statement for Month/Year

October 2001

---

5. If Amendment, Date of Original (Month/Year)

---

6. Relationship of Reporting Person to Issuer  
(Check all applicable)

Director

10% Owner

Officer (give title below)

Other (specify below)

President and Chief Executive Officer

---

**Edgar Filing: KOLY M S /FA/ - Form 4**

7. Individual or Joint/Group Filing (Check applicable line)

- Form filed by one Reporting Person  
 Form filed by more than one Reporting Person

=====  
 Table I -- Non-Derivative Securities Acquired, Disposed of,  
 or Beneficially Owned  
 =====

1. Title of Security (Instr. 3)	2. Transaction Date (mm/dd/yy)	3. Transaction Code (Instr. 8)		4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		
		Code	V	Amount	(A) or (D)	Price
Common Stock	10/19/01	P		2,500	A	\$1.00
Common Stock	10/30/01	P		5,000	A	\$1.00
Common Stock	10/31/01	P		2,500	A	\$1.00
Common Stock						

\* If the Form is filed by more than one Reporting Person,  
 see Instruction 4(b)(v).

Reminder: Report on a separate line for each class of securities beneficially  
 owned directly or indirectly.

(Print or Type Response)

(Over)

FORM 4 (continued)

=====  
 Table II -- Derivative Securities Acquired, Disposed of, or Beneficially Owned  
 (e.g., puts, calls, warrants, options, convertible securities)  
 =====

2. Conver- sion or Exer-	4.	5. Number of Derivative Securities	6. Date	7. Title and Amount of Underlying Securities
--------------------------------------	----	---	------------	---

Edgar Filing: KOLY M S /FA/ - Form 4

1. Title of Derivative Security (Instr. 3)	cise Price of Deriv- ative Secur- ity	3. Trans- action Date (Month/ Day/ Year)	Trans- action Code (Instr. 8) ----- Code V	Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) ----- (A) (D)	Exercisable and Expiration Date (Month/Day/Year) ----- Date Expira- tion Date	(Instr. 3 and 4) ----- Amount or Number of Shares

Explanation of Responses:

/s/ M.S. Koly

11/09/01

-----  
\*\*Signature of Reporting Person

-----  
Date

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations.

See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, see Instruction 6 for procedure.