# Edgar Filing: CROWN JAMES S - Form 4

## **CROWN JAMES S**

## Form 4

# April 17, 2003

UNITED STATES SECURITIES AND EXCHANGE COMMISSION WASHINGTON, D.C. 20549

FORM 4

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

( ) Check this box if no longer subject to Section 16.

Form 4 or Form 5 obligations may continue. See Instructions 1(b).

1. Name and Address of Reporting Person

James S. Crown

Henry Crown and Company

222 North LaSalle Street

Suite 2000

Common Stock

IL, Chicago 60601

- Issuer Name and Ticker or Trading Symbol BANK ONE CORPORATION (ONE)
- 3. IRS or Social Security Number of Reporting Person (Voluntary)
- 4. Statement for Month/Day/Year 4/15/2003
- 5. If Amendment, Date of Original (Month/Day/Year)
- 6. Relationship of Reporting Person(s) to Issuer (Check all applicable) (X) Director () 10% Owner () Officer (give title below) () Other (specify below)
- 7. Individual or Joint/Group Filing (Check Applicable Line)
  - (X) Form filed by One Reporting Person
  - ( ) Form filed by More than One Reporting Person

Table I Non-Der	ivative	Securit	ties A	.cquir	ed, Disposed	l of, or	Bene	eficiall	y Owned
1. Title of Securit	Trans  actio	- Exec- n ution 	Tran  acti 	s   on  	Securities A or Disposed Amount	of (D)	/	Price	Securities     Beneficially     Owned Following
Common Stock	1	1	1	1 1		1	I		74289
Common Stock									1172063
Common Stock									250300
Common Stock									42905
Common Stock									107811
Common Stock									3269
Common Stock		 							6634
Common Stock									667146
Common Stock			l						221433

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Common Stock							l I		5000	 
Common Stock			   				_ 		10000	
Common Stock	1						I I		1517820	
Common Stock	1						I I		155004	
Common Stock	1						l I		6385	 
Common Stock	I						I I		4560465	 
Common Stock	l						l I		6634	 
Common Stock	 	   	   	     			     		1753	 
Table II I	 Derivative	e Securi	tites Ad	cquired,	Disposed o	f, o	r Benei	icial	Ly Owned	
Derivative Security	or Exer    cise    Price of   Deriva-    tive    Secu-	Trans-    action                 (Month/	Deemed    Execu-  ution         (Month	Trans-  action   	rivative S rities Acq red(A) or posed of(D	ecu ui Dis )   A/	cisab]  Expira  Date(N	Le and ation Month/ear) Expir ation Date	       Title and Number	8.P  of  vat  Sec  rit 
Director Stock Option (Right to Buy)		4/15/ 2   003		A   			10/15  /2003		Common Stock 2000	
Director Stock Option (right to buy)		       	       	     	     	     		05/15	Common Stock  	
Director Stock Option (right to buy)		     	     	     	   	     		04/16 /2012	Common Stock  	     
Director Stock Option (right to buy)		   	   		     	     		5/8/0	Common Stock      	     
Director Stock Option (right to buy)	İ		   	     	 	   		5/18/ 09	Common Stock  	
Director Stock Option (right to buy)	i i	     	   	     	 	   		5/9/0 7	Common Stock  	   
Director Stock Option (right to buy)		     	     	     	     	   		8/1/0	Common Stock  	   

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Director Stoc \$35.41		1		1 1	1		4/17/ Common Stock	
k Option (rig			1				2011	
ht to buy)		I			I	I	1	
Director Stoc \$30.66					 		5/16/ Common Stock	18
k Option (rig			1				2010	
ht to buy)		I			I		1	
Stock Units					 	19	19  Common Stock	 
			<b>-</b> -		<u> </u>			 

#### Explanation of Responses:

- 1. Owned by a partnership of which a corporation of which Reporting Person is a director, officer and shareholder and a trust of which Reporting Person is a beneficiary are partners. (Areljay, L.P.).
- 2. Owned by a partnership of which the Reporting Person is a partner (Crown Fund II).
- 3. Owned by a trust of which Reporting Person is a beneficiary (Edward Crown Income Charitable Fund).
- 4. Owned by a trust of which Reporting Person is a beneficiary (Edward Memorial Trust).
- 5. Owned by a trust of which one of Reporting Person's children is a beneficiary (HCC Trust).
- 6. Owned by a trust of which one of Reporting Person's children is a beneficiary (HCC Trust No. 1).
- 7. Owned by a partnership of which Reporting Person is a partner (Henry Crown and Company (Not Incorporated)).
- 8. Owned by a trust of which Reporting Person is a beneficiary (Henry Crown Grandchildren Trust).
- 9. Owned by a trust of which Reporting Person is a beneficiary (Henry Crown Trust).
- 10. Owned by a trust of which Reporting Person is a beneficiary (James S. Crown  $65 \, \mathrm{Trust}$ ).
- 11. Owned by a trust of which Reporting Person is a beneficiary (James S. Crown Trust).
- 12. Owned by a partnership, Pines Trailer Limited Partnership, of which a corporation of which Reporting Person is a shareholder and a partnership of which Reporting Person is a partner are partners.
- 13. Owned by a trust of which Reporting Person is a co-trustee and a beneficiary (Rebecca K. Crown Income Charitable Fund).
- 14. Owned by Reporting Person's wife.
- 15. Owned by a partnership of which Reporting Person is a partner (The Crown Fund).
- 16. Owned by a trust of which one of Reporting Person's children is a beneficiary (VSC Trust No. 1)
- 17. Owned by a trust of which one of Reporting Person's children is a beneficiary (WAHC Trust No. 1).
- 18. Granted in lieu of \$60,000 cash retainer.
- 19. Stock units granted under the Corporation's Director Stock Plan. Each unit represents the right to receive a share of the Corporation's common stock after Reporting Person's retirement, on a 1 for 1 basis, and dividend equivalent rights which will be

reinvested in additional stock units.

SIGNATURE OF REPORTING PERSON

James S. Crown

JAMES S. CROWN

Goldman, Attorney-in-Fact

By: Laurence