Edgar Filing: PNM RESOURCES - Form 4

PNM RESOURCES

Form 4

September 16, 2002

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UNITED STATES SECURITIES AND EXCHANGE COMMISSION
WASHINGTON, D.C. 20549
FORM 4
STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP
( ) Check this box if no longer subject to Section 16.
Form 4 or Form 5 obligations may continue. See Instructions 1(b).
1. Name and Address of Reporting Person
  ORTIZ, PATRICK T
  ALVARADO SOUARE
  M. S. 2822
  ALBUQUERQUE, NM 87158
  USA
2. Issuer Name and Ticker or Trading Symbol
  PNM RESOURCES, INC.
3. IRS or Social Security Number of Reporting Person (Voluntary)
4. Statement for Month/Year
  09/16/02
5. If Amendment, Date of Original (Month/Year)
6. Relationship of Reporting Person(s) to Issuer (Check all applicable)
   ( ) Director ( ) 10% Owner (X) Officer (give title below) ( ) Other
   (specify below)
  SENIOR VICE PRESIDENT, GENERAL COUNSEL AND SECRETARY
7. Individual or Joint/Group Filing (Check Applicable Line)
   (X) Form filed by One Reporting Person
   ( ) Form filed by More than One Reporting Person
Table I -- Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned
1. Title of Security
                        |2. |3. |4.Securities Acquired (A) |5.Amount of
                         | Transaction | or Disposed of (D)
                                                                       | Securities
                                                                      | Beneficially
                         | A/|
                         1
                              | Owned at
                                                      | D | Price | End of Month
                         | Date | Code | V | Amount
COMMON STOCK
                        |09/16/|A | |4.7904
                                                      |A |$20.85
                                                       |02 | | |
Table II -- Derivative Securitites Acquired, Disposed of, or Beneficially Owned
1. Title of Derivative |2.Con- |3. |4. |5. Number of De |6.Date Exer|7. Title and Amount |8.F
                    |version |Transaction | rivative Secu |cisable and| of Underlying |or Exer | | | rities Acqui |Expiration | Securities
 Security
                                                                                      of
                                                                                       |vat
                    Sec
                                                                                       |rit
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|rity |Date |Code|V| Amount | |ble | |

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Explanation of Responses:

(1) TOTAL INCLUDES 37 SHARES OF PNM RESOURCES, INC., COMMON STOCK ACQUIRED UNDER THE PNM DIVIDEND REINVESTMENT PLAN AND 1252 SHARES ACQUIRED UNDER THE PNM RESOURCES, INC., 401(K) PLAN. INFORMATION IN THIS REPORT IS BASED ON A PLAN STATEMENT DATED 08/31/02.