Edgar Filing: LOYACK JOHN R - Form 4

LOYACK JOHN R

Form 4

September 13, 2002

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UNITED STATES SECURITIES AND EXCHANGE COMMISSION
WASHINGTON, D.C. 20549
FORM 4
STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP
( ) Check this box if no longer subject to Section 16.
Form 4 or Form 5 obligations may continue. See Instructions 1(b).
1. Name and Address of Reporting Person
  LOYACK, JOHN R
  ALVARADO SOUARE
  M. S. 1104
  ALBUQUERQUE, NM 87158
  USA
2. Issuer Name and Ticker or Trading Symbol
  PNM RESOURCES, INC.
3. IRS or Social Security Number of Reporting Person (Voluntary)
4. Statement for Month/Year
  09/11/02
5. If Amendment, Date of Original (Month/Year)
6. Relationship of Reporting Person(s) to Issuer (Check all applicable)
   ( ) Director ( ) 10% Owner (X) Officer (give title below) ( ) Other
   (specify below)
  VICE PRESIDENT, CORPORATE CONTROLLER & CHIEF ACCOUNTING OFFICER
7. Individual or Joint/Group Filing (Check Applicable Line)
   (X) Form filed by One Reporting Person
   ( ) Form filed by More than One Reporting Person
Table I -- Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned
                       |2. |3. |4.Securities Acquired (A) |5.Amount of
1. Title of Security
                        | Transaction | or Disposed of (D)
                                                                    | Securities
                        | Beneficially
                                                     | A/|
                        1
                             | Owned at
                        | Date |Code|V| Amount
                                                     | D | Price | End of Month
 Table II -- Derivative Securitites Acquired, Disposed of, or Beneficially Owned
1. Title of Derivative |2. Con- |3. |4. |5. Number of De |6. Date Exer|7. Title and Amount |8. F
                   |version |Transaction | rivative Secu |cisable and | of Underlying
 Security
                                                                                   lof
                   |vat
                                                                                    Sec
                                                                                    |rit
                   |Date |Expir|
                                                 \mid A/\midExer-\midation\mid Title and Number \mid
                                                 | D |cisa-|Date | of Shares
                                                                                   |rity |Date |Code|V| Amount | |ble |
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PHANTOM STOCK UNITS |1 FOR 1 |09/11|A | |30 |A |(1) |(1) |COMMON STOCK|30 | |/02 | | | | | | |

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Explanation of Responses:

(1) THE PHANTOM STOCK UNITS WERE ACQUIRED UNDER PNM RESOURCES, INC., EXECUTIVE SAVINGS PLAN AND WILL BE SETTLED UPON THE REPORTING PERSON'S RETIREMENT OR OTHER TERMINATION OF SERVICE.