

Jassy Andrew R  
 Form 4  
 November 19, 2018

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
 Washington, D.C. 20549**

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
 Jassy Andrew R

2. Issuer Name and Ticker or Trading Symbol  
 AMAZON COM INC [AMZN]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Date of Earliest Transaction (Month/Day/Year)

\_\_\_\_ Director \_\_\_\_\_ 10% Owner  
 Officer (give title below) \_\_\_\_\_ Other (specify below)

P.O. BOX 81226

11/15/2018

CEO Amazon Web Services

(Street)

4. If Amendment, Date Original Filed (Month/Day/Year)

6. Individual or Joint/Group Filing (Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

SEATTLE, WA 98108-1226

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3)          | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|--|--------------------------------------|--|--------------------------------|---|---|--|---|
|  |                                      |  | Code                           | V   | Amount or Price   |  |   |
| Common Stock, par value \$0.01 per share | 11/15/2018                           |  | M                              |   | 952 A \$ 0  | 91,183   | D   |
| Common Stock, par value \$0.01 per share | 11/15/2018                           |  | M                              |   | 3,157 A \$ 0  | 94,340   | D   |
| Common Stock, par                        | 11/15/2018                           |  | S <sup>(1)</sup>               |   | 100 D \$ 1,549.6  | 94,240   | D   |

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|   |            |                        |     |   |                                |        |   |
|---|------------|------------------------|-----|---|--------------------------------|--------|---|
| value<br>\$.01 per<br>share                         |            |                        |     |   |                                |        |   |
| Common<br>Stock, par<br>value<br>\$.01 per<br>share | 11/15/2018 | <u>S<sup>(1)</sup></u> | 132 | D | \$<br>1,553.2409<br><u>(2)</u> | 94,108 | D |
| Common<br>Stock, par<br>value<br>\$.01 per<br>share | 11/15/2018 | <u>S<sup>(1)</sup></u> | 200 | D | \$ 1,554.775<br><u>(3)</u>     | 93,908 | D |
| Common<br>Stock, par<br>value<br>\$.01 per<br>share | 11/15/2018 | <u>S<sup>(1)</sup></u> | 26  | D | \$ 1,557.15                    | 93,882 | D |
| Common<br>Stock, par<br>value<br>\$.01 per<br>share | 11/15/2018 | <u>S<sup>(1)</sup></u> | 10  | D | \$ 1,560.8                     | 93,872 | D |
| Common<br>Stock, par<br>value<br>\$.01 per<br>share | 11/15/2018 | <u>S<sup>(1)</sup></u> | 284 | D | \$<br>1,562.5168<br><u>(4)</u> | 93,588 | D |
| Common<br>Stock, par<br>value<br>\$.01 per<br>share | 11/15/2018 | <u>S<sup>(1)</sup></u> | 37  | D | \$ 1,563.85                    | 93,551 | D |
| Common<br>Stock, par<br>value<br>\$.01 per<br>share | 11/15/2018 | <u>S<sup>(1)</sup></u> | 100 | D | \$ 1,567.15                    | 93,451 | D |
| Common<br>Stock, par<br>value<br>\$.01 per<br>share | 11/15/2018 | <u>S<sup>(1)</sup></u> | 37  | D | \$ 1,569.5                     | 93,414 | D |
| Common<br>Stock, par<br>value                       | 11/15/2018 | <u>S<sup>(1)</sup></u> | 100 | D | \$ 1,571.21                    | 93,314 | D |

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\$.01 per share

Common Stock, par value \$0.01 per share

11/15/2018 S<sup>(1)</sup> 100 D \$ 1,572.54 93,214 D

Common Stock, par value \$0.01 per share

11/15/2018 S<sup>(1)</sup> 300 D \$ 1,574.45<sub>(5)</sub> 92,914 D

Common Stock, par value \$0.01 per share

11/15/2018 S<sup>(1)</sup> 200 D \$ 1,576.415<sub>(6)</sub> 92,714 D

Common Stock, par value \$0.01 per share

11/15/2018 S<sup>(1)</sup> 100 D \$ 1,586.68 92,614 D

Common Stock, par value \$0.01 per share

489.125 I

Held by the reporting person's Amazon.com 401(k) plan account

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) |       |           |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|-------|-----------|
|  |  |                                      |  | Code                           | V (A) (D)   | Date Exercisable   | Expiration Date   | Title | Amount or |

|                             |                     |            |   |       |                           |            |  | Number of Shares |
|-----------------------------|---------------------|------------|---|-------|---------------------------|------------|--|------------------|
| Restricted Stock Unit Award | \$ 0 <sup>(7)</sup> | 11/15/2018 | M | 952   | 05/15/2017 <sup>(8)</sup> | 02/15/2022 | Common Stock, par value \$0.01 per share | 952              |
| Restricted Stock Unit Award | \$ 0 <sup>(7)</sup> | 11/15/2018 | M | 3,157 | 05/15/2018 <sup>(9)</sup> | 02/15/2020 | Common Stock, par value \$0.01 per share | 3,157            |

## Reporting Owners

| Reporting Owner Name / Address                             | Relationships |           |                         |       |
|--|---------------|-----------|-------------------------|-------|
|  | Director      | 10% Owner | Officer                 | Other |
| Jassy Andrew R<br>P.O. BOX 81226<br>SEATTLE, WA 98108-1226 |               |           | CEO Amazon Web Services |       |

## Signatures

/s/ by Mark F. Hoffman as attorney-in-fact for Andrew R. Jassy, CEO Amazon Web Services 11/19/2018

\*\*Signature of Reporting Person Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) This transaction was effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person.
- (2) Represents the weighted average sale price. The highest price at which shares were sold was \$1,553.35 and the lowest price at which shares were sold was \$1,552.90.
- (3) Represents the weighted average sale price. The highest price at which shares were sold was \$1,554.87 and the lowest price at which shares were sold was \$1,554.68.
- (4) Represents the weighted average sale price. The highest price at which shares were sold was \$1,562.64 and the lowest price at which shares were sold was \$1,562.32.
- (5) Represents the weighted average sale price. The highest price at which shares were sold was \$1,574.96 and the lowest price at which shares were sold was \$1,574.15.
- (6) Represents the weighted average sale price. The highest price at which shares were sold was \$1,576.91 and the lowest price at which shares were sold was \$1,575.92.
- (7) Converts into Common Stock on a one-for-one basis.
- (8) This award vests based upon the following vesting schedule and the satisfaction of certain business criteria intended to qualify the award as tax-deductible compensation under Section 162(m) of the Internal Revenue Code: 494 shares on each of May 15, 2017, August 15, 2017, and November 15, 2017; 495 shares on February 15, 2018; 952 shares on each of May 15, 2018, August 15, 2018, November 15, 2018, and February 15, 2019; 1,138 shares on May 15, 2019; 1,139 shares on each of August 15, 2019, November 15, 2019, and February 15, 2020; 2,791 shares on each of May 15, 2020, August 15, 2020, and November 15, 2020; 2,792 shares on

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February 15, 2021; 2,153 shares on each of May 15, 2021, August 15, 2021, and November 15, 2021; and 2,154 shares on February 15, 2022.

- (9) This award vests based upon the following vesting schedule and the satisfaction of certain business criteria intended to qualify the award as tax-deductible compensation under Section 162(m) of the Internal Revenue Code: 3,156 shares on each of May 15, 2018 and August 15, 2018; 3,157 shares on each of November 15, 2018 and February 15, 2019; 2,433 shares on May 15, 2019; and 2,434 shares on each of August 15, 2019, November 15, 2019, and February 15, 2020.

### Remarks:

The reporting person undertakes to provide, upon request by the staff of the SEC, the issuer, or a security holder of the issuer,

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