Edgar Filing: UNITED TECHNOLOGIES CORP /DE/ - Form 4

UNITED TECHNOLOGIES CORP /DE/

Form 4

December 03, 2004

FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

OMB 3235-0287 Number:

January 31, Expires: 2005

burden hours per 0.5

Estimated average response...

if no longer subject to Section 16. Form 4 or Form 5

Check this box

obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

SECURITIES

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person * BRITTAN KENT L			_,,					5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
(Last) (First) (Middle) UNITED TECHNOLOGIES CORPORATION, ONE FINANCIAL PLAZA			3. Date of Earliest Transaction (Month/Day/Year) 12/01/2004					Director 10% Owner X Officer (give title Other (specify below) VP, Supply Management			
HARTFOR	(Street) HARTFORD, CT 06101			Filed(Month/Day/Year)					i. Individual or Joint/Group Filing(Check Applicable Line) X_ Form filed by One Reporting Person Form filed by More than One Reporting		
		(7in)						erson			
(City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned										y Owned	
1.Title of Security (Instr. 3)	2. Transaction Dat (Month/Day/Year)		Date, if	3. Transaction Code (Instr. 8)	4. Securit oper Dispos (Instr. 3, 4	ed of (5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common Stock	12/01/2004			M	10,000	A	\$ 36.5625	10,000	D		
Common Stock	12/01/2004			F	1,997	D	\$ 100.15	8,003	D		
Common Stock	12/01/2004			M	10,000	A	\$ 36.5625	18,003	D		
Common Stock	12/01/2004			F	1,997	D	\$ 100.15	16,006	D		
	12/02/2004			S	16,000	D	\$ 98.95	6	D		

Edgar Filing: UNITED TECHNOLOGIES CORP /DE/ - Form 4

Common Stock

Common Stock 12/02/2004 S 6 D \$ 98.89 0 (1) D

Common Stock 6,617.221 I Savings Plan Trustee

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

By

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4,	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amou Underlying Secur (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Am or Nui of S
Non-Qualified Stock Option (right to buy)	\$ 36.5625	12/01/2004		M	10,000	01/02/2001	01/01/2008	Common Stock	10
Non-Qualified Stock Option (right to buy)	\$ 36.5625	12/01/2004		M	10,000	01/02/2001	01/01/2008	Common Stock	10

Reporting Owners

Reporting Owner Name / Address

Director 10% Owner Officer Other

BRITTAN KENT L UNITED TECHNOLOGIES CORPORATION ONE FINANCIAL PLAZA HARTFORD, CT 06101

VP, Supply Management

Reporting Owners 2

Edgar Filing: UNITED TECHNOLOGIES CORP /DE/ - Form 4

Signatures

By: /s/ Charles F. Hildebrand as Attorney-in-Fact

12/03/2004

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The reporting person also directly owns 4,560 shares of United Technologies Career Restricted Common Stock.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Signatures 3