

STOEHR DAVID L  
Form 4  
July 25, 2017

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

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**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
STOEHR DAVID L

2. Issuer Name and Ticker or Trading Symbol  
WINTRUST FINANCIAL CORP  
[WTFC]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)  
9700 WEST HIGGINS ROAD, 8TH FLOOR

3. Date of Earliest Transaction (Month/Day/Year)  
07/21/2017

\_\_\_\_ Director  
 Officer (give title below) CHIEF FINANCIAL OFFICER  
\_\_\_\_ 10% Owner  
\_\_\_\_ Other (specify below)

(Street)  
ROSEMONT, IL 60018

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
\_\_\_\_ Form filed by More than One Reporting Person

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|---|
|                                 |                                      |  |                                | (A) or (D)  | Price   |  |   |
|                                 |                                      |  |                                | Code V  | Amount  |  |   |
| Common Stock                    | 07/21/2017                           |  | M                              | 3,060   | A \$ 33.28  | 12,289   | D   |
| Common Stock                    | 07/21/2017                           |  | M                              | 3,356   | A \$ 30.98  | 15,645   | D   |
| Common Stock                    | 07/21/2017                           |  | M                              | 3,409   | A \$ 37.85  | 19,054   | D   |
| Common Stock                    | 07/21/2017                           |  | M                              | 5,783   | A \$ 46.86  | 24,837   | D   |
| Common Stock                    | 07/21/2017                           |  | M                              | 4,878   | A \$ 44.11  | 29,715   | D   |

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|              |            |  |   |        |   |          |        |   |
|--------------|------------|--|---|--------|---|----------|--------|---|
| Common Stock | 07/21/2017 |  | M | 2,829  | A | \$ 40.87 | 32,544 | D |
| Common Stock | 07/21/2017 |  | S | 23,315 | D | \$ 76.86 | 9,229  | D |
| Common Stock | 07/21/2017 |  | S | 1,828  | D | \$ 77.41 | 7,401  | D |
| Common Stock | 07/21/2017 |  | S | 20     | D | \$ 77.46 | 7,381  | D |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

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(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Security (Instr. 3 and 4) | Amount or Number of Shares |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|----------------------------|
| Non-Qualified Stock Option (right to buy)  | \$ 33.28   | 07/21/2017                           |  | M                              | 3,060   | 08/03/2014 08/03/2018                                    | Common Stock  | 3,060                      |
| Non-Qualified Stock Option (right to buy)  | \$ 30.98   | 07/21/2017                           |  | M                              | 3,356   | 01/26/2015 01/26/2019                                    | Common Stock  | 3,356                      |
| Non-Qualified Stock Option (right to buy)  | \$ 37.85   | 07/21/2017                           |  | M                              | 3,409   | 01/24/2016 01/24/2020                                    | Common Stock  | 3,409                      |
| Non-Qualified Stock Option (right to buy)  | \$ 46.86   | 07/21/2017                           |  | M                              | 5,783   | 01/23/2017 01/23/2021                                    | Common Stock  | 5,783                      |
| Non-Qualified Stock Option (right to buy)  | \$ 44.11   | 07/21/2017                           |  | M                              | 4,878   | 01/22/2017 01/22/2022                                    | Common Stock  | 4,878                      |
|  | \$ 40.87   | 07/21/2017                           |  | M                              | 2,829   | 01/28/2017 01/28/2023                                    |   | 2,829                      |

