

WINTRUST FINANCIAL CORP
Form 8-K
November 01, 2016

UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

FORM 8-K

Current Report
Pursuant to Section 13 or 15(d) of The
Securities Exchange Act of 1934
Date of Report (Date of earliest event reported): October 28, 2016

WINTRUST FINANCIAL CORPORATION
(Exact name of registrant as specified in its charter)

Illinois 001-35077 36-3873352
(State or other jurisdiction (Commission (I.R.S. Employer
of Incorporation) File Number) Identification No.)

9700 W. Higgins Road, Suite 800 60018
Rosemont, Illinois
(Address of principal executive offices) (Zip Code)
Registrant's telephone number, including area code (847) 939-9000
Not Applicable
(Former name or former address, if changed since last year)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions:

Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)

Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)

Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))

Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

Item 7.01. Regulation FD Disclosure

On October 28, 2016, Wintrust Financial Corporation (the “Company”) released a summary of the results of its annual stress tests conducted in accordance with regulations of the Board of Governors of the Federal Reserve System and the Office of the Comptroller of the Currency under the Dodd-Frank Wall Street Reform and Consumer Protection Act. The summary of the Company-run stress test results is available in the “Financial Reports” section of the Investor Relations site on www.wintrust.com or is directly available at

http://www.wintrust.com/sites/default/files/dfast_annual_disclosures.pdf.

The information in this report is being furnished and shall not be deemed “filed” for the purposes of Section 18 of the Securities Exchange Act of 1934, as amended, or otherwise subject to the liabilities of that Section. The information in this report shall not be incorporated by reference into any registration statement or other document pursuant to the Securities Act of 1933, as amended.

Signature

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

WINTRUST FINANCIAL CORPORATION
(Registrant)

By: /s/ David L. Stoehr
David L. Stoehr
Executive Vice President and
Chief Financial Officer

Date: November 1, 2016