#### COLUMBUS MCKINNON CORP

Form 4 July 24, 2013

### FORM 4

Check this box

if no longer

subject to

Section 16.

Form 4 or

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB Number:

**OMB APPROVAL** 

3235-0287

0.5

Expires: January 31, 2005

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

Stock

(Print or Type Responses)

1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading FLEMING RICHARD H Issuer Symbol COLUMBUS MCKINNON CORP (Check all applicable) [CMCO] (Last) (First) (Middle) 3. Date of Earliest Transaction X\_ Director 10% Owner Other (specify Officer (give title (Month/Day/Year) below) 140 JOHN JAMES AUDUBON 07/22/2013 **PARKWAY** (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting AMHERST, NY 14228 Person (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1. Title of 2. Transaction Date 2A. Deemed 3. 4. Securities Acquired 5. Amount of 6. 7. Nature of Security (Month/Day/Year) Execution Date, if Transaction(A) or Disposed of (D) Securities Ownership Indirect (Instr. 3) Code (Instr. 3, 4 and 5) Beneficially Form: Direct Beneficial (Month/Day/Year) (Instr. 8) Owned Ownership (D) or **Following** Indirect (I) (Instr. 4) Reported (Instr. 4) (A) Transaction(s) or (Instr. 3 and 4) Code V Amount (D) Price Common 28,008.1481 D Stock Common 1,806 07/22/2013 29,814.1481 A D 24.92 Stock Common 07/23/2013 M  $650^{(2)}$  A \$0 30,464.1481 D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474

(9-02)

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	2 3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number some Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	Expiration D	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Restricted Stock Units	(3)	07/22/2013		A	1,300	<u>(4)</u>	<u>(4)</u>	Common Stock	1,300	\$
Restricted Stock Units	(3)					(5)	(5)	Common Stock	650	
Restricted Stock Units	(3)					<u>(6)</u>	<u>(6)</u>	Common Stock	325	
Restricted Stock Units	<u>(3)</u>	07/23/2013		M	650	(2)	(2)	Common Stock	650	\$

# **Reporting Owners**

Reporting Owner Name / Address	Relationships					
F	Director	10% Owner	Officer	Other		
FLEMING RICHARD H 140 JOHN JAMES AUDUBON PARKWAY AMHERST, NY 14228	X					
Signatures						

Mary C. O'Connor, Power of Attorney for Richard H.
Fleming
07/24/2013

\*\*Signature of Reporting Person Date

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### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents shares granted to reporting person pursuant to the Columbus McKinnon Corporation 2010 Long Term Incentive Plan dated as of July 26, 2010.
- Originally a 1,300 restricted stock unit award, 650 restricted stock units became fully vested and non-forfeitable on July 23, 2013. The remaining 650 restricted stock units become fully vested and non-forfeitable 50% on July 23, 2014 and 50% on July 23, 2015.
- (3) Each restricted stock unit represents a contingent right to receive one (1) share of Columbus McKinnon Corporation common stock.
- Represents restricted stock units issued to reporting person under the Columbus McKinnon Corporation 2010 Long Term Incentive Plan
- (4) dated as of July 26, 2010, subject to forfeiture; units become fully vested and non-forfeitable 50% on July 22, 2014, 25% on July 22, 2015 and 25% on July 22, 2016, if reporting person remains a director of issuer.
- Originally a 1,300 restricted stock unit award, 650 restricted stock units became fully vested and non-forfeitable on July 25, 2012. The remaining 650 restricted stock units become fully vested and non-forfeitable 50% on July 25, 2013 and 50% on July 25, 2014, if reporting person remains a director of issuer.
- Originally a 1,300 restricted stock unit award, 650 restricted stock units became fully vested and non-forfeitable on July 26, 2011 and 325 (6) restricted stock units became fully vested and non-forfeitable on July 26, 2012. The remaining 325 restricted stock units become fully vested and non-forfeitable on July 26, 2013, if reporting person remains a director of issuer.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.