

Edgar Filing: SEGAL DANIEL - Form 4

SEGAL DANIEL
 Form 4
 July 10, 2002
 FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

| | Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(f) of the Investment Company Act of 1940

| | | | | | | | | | | | |
|-------------------------|-----------------|----------|-------------------------------------------|------------------|------------------------------|------------------|---|------------------|-----------------|-------------|--|
| ----- | | | 1. Name and Address of Reporting Person*/ | | 2. Issuer Name and Ticker | | / | | 6. Relationship | | |
| | | | / | | or Trading Symbol | | / | | (Check all | | |
| | | | / | | | | / | | ___ Direct | | |
| | | | / | | | | / | | X Office | | |
| Segal, Daniel | | | / | | ThermoGenesis Corp. and KOOL | | / | | (give | | |
| | | | / | | | | / | | Vice Presid | | |
| ----- | | | / | | ----- | | / | | ----- | | |
| (Last) | (First) | (Middle) | / | 3. IRS or | / | 4. Statement for | / | 7. Individual | | | |
| | | | / | Social Security | / | (Month/Year) | / | (Check Appl | | | |
| | | | / | Number of | / | | / | | | | |
| | | | / | Reporting Person | / | 06/2002 | / | X Form fi | | | |
| c/o ThermoGenesis Corp. | | | / | | | / | | | | | |
| 3146 Gold Camp Drive | | | / | | | / | | | | | |
| ----- | | | / | | | / | | | | | |
| (Street) | | | / | | | / | | 5. If Amendment, | | ___ Form fi | |
| | | | / | | | / | | Date of | | Reporti | |
| | | | / | | | / | | Original | | | |
| | Rancho Cordova, | CA | / | | | / | | (Month/Year) | | | |
| ----- | | | / | | | / | | | | | |
| (City) | (State) | (Zip) | / | | | / | | N/A | | | |
| ----- | | | | | | | | | | | |

TABLE I - NONDERIVATIVE SECURITIES ACQUIRED, DISPOSED OF, OR BENEFICIA

| | | | | | | | | | | |
|----------|---------------|-------------------|----------------------------|----------------|-------|---|-----------------|--------------|--|--|
| ----- | | | | | | | | | | |
| 1. Title | /2. Trans- | /3. Transaction / | 4. Securities Acquired (A) | | | | / | 5. Amount of | | |
| of | / action | / Code / | or Disposed of (D) | | | | / | Securities | | |
| Security | / Date | / (Instr. 8) / | (Instr. 3,4 and 5) | | | | / | Beneficially | | |
| (Inst.3) | / (Mo/Day/Yr) | ----- | ----- | | | | / | Owned at End | | |
| | / | / Code / V / | Amount | / (A) or (D) / | Price | / | of Month | | | |
| | / | / / / | / | / / | / | / | (Inst. 3 and 4) | | | |
| ===== | | | | | | | | | | |
| ----- | | | | | | | | | | |

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly by the reporting person.
 *If the form is filed by more than one reporting person, see Instruction 4(b)(v).

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FORM 4 (continued)

TABLE II - DERIVATIVE SECURITIES ACQUIRED, DISPOSED OF, OR BENEFICIALLY OWNED
 (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Con- version or exercise Price of of Deriv- ative Secu- rity | 3. Trans- action Date (Mo. / Day / Year) | 4. Trans- action Code (Inst.8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Inst.3,4,5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3,4) | 8. Price of Deriv- ative Secu- rity (Inst.4) |
|--------------------------------------------------------|-----------------------------------------------------------------------------------------------|------------------------------------------------------------|--------------------------------------------|---------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------|--------------------------------------------------------------------------|-------------------------------------------------------------------|
| Options to Purchase Common Stock | \$1.125 | 6/28/02 | D(1) | 5,000 | 8/25/00 7/29/02 | Common Stock | 5,000 - |
| Options to Purchase Common Stock | \$1.125 | 6/28/02 | A | 5,000 | 8/25/00 7/29/07 | Common Stock | 5,000 - |
| Options to Purchase Common Stock | \$1.12 | 6/28/02 | A | 100,000 | (2) 7/29/09 | Common Stock | 100,000 - |

Explanation of Responses:

- (1) Exercise period of option was extended, which is treated as a cancellation of option in connection with the option.
- (2) Vesting 33,333 on 7/29/03
 Vesting 33,333 on 7/29/04
 Vesting 33,334 on 7/29/05

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/S/ DANIEL SEG

Daniel Segal

**Intentional misstatements or omissions of facts constitute Federal Criminal Violations.

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, see Instruction 6 for procedure.